

In the Supreme Court of the State of Alaska

**James Caswell, Alaska Lime
Company and Inc.,**
Appellants,

v.

Ahtna, Incorporated.,
Appellee.

Supreme Court No. **S-17866**

Opening Notice Appellate Rule 204

Date of Notice: **11/12/2020**

Trial Court Case No. **3AN-18-06594CI**

1. On 9/2/2020, Appellant filed an appeal of Judge Matthews's final order/judgment distributed on 8/6/2020. Attorneys whose names and addresses are correct on this notice need not file an entry of appearance. All documents filed by a party who is not represented by counsel shall include an address at which that party can be served. The caption in this case will be as shown above.

2. In accordance with Appellate Rule 204(g), all parties to the trial court proceedings when the final order/judgment was entered are parties to the appeal. A party who files a notice of appeal, whether separately or jointly, is an Appellant under these rules. All other parties are deemed to be Appellees, regardless of their status in the trial court. An Appellant may elect at any time not to participate in the appeal by filing and serving a notice of non-participation.

3. The notice of completion of preparation of file is due from the Appellate Court Records Office (ACRO) on or before **12/22/2020**. The record in this appeal will include only the documents and proceedings in the trial court case referenced above. If either party believes that the record should contain documents or proceedings from any other related case, that party should file an appropriate motion.

4. If transcripts are not being prepared at public expense, it is the responsibility of the Appellant's transcriber to ensure that all transcripts designated by any party are received by the court on or before **12/22/2020**. The Appellant's transcriber must file one unbound condensed copy and an electronic version of the transcript in the form and format prescribed by the Manual of Transcript Procedures. The manual is available at: <https://public.courts.alaska.gov/web/forms/docs/tf-410.pdf>.

5. This case is exempt from the settlement discussion requirement under Appellate Rule 221. **Appellant must file the form notice/certificate enclosed by 12/22/2020, however, Part 3 need not be completed.**

Clerk of the Appellate Courts



Carly Williams, Deputy Clerk

cc: Judge Matthews

ACRO

Distribution:

Email:

Caswell, James

Misulich, Robert J.

Thompson, Darryl L.

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Notice/Certificate
Appellate Rule 221

Trial Court Case No. **3AN-18-06594CI**

NOTICE/CERTIFICATE REQUIRED BY APPELLATE RULE 221

Part 1. Trial Court Settlement History.

1. Did the parties attempt settlement at the trial court level?
 - ☐ yes (answer questions 2-5)
 - ☐ no (skip to Part 2)
2. What form(s) did the settlement discussions take? (Check all that apply)
 - ☐ informal discussions
 - ☐ negotiations led by private neutral (e.g., mediator)
name of private neutral: _____
 - ☐ settlement conference with judge
name of judge: _____
 - ☐ other
describe: _____
3. Who was involved in the settlement discussions? (Check all that apply)
 - ☐ counsel for all parties
 - ☐ all clients
 - ☐ other
describe: _____
4. How long did the settlement discussions take?

5. What was the outcome of the settlement discussions at the trial court level?
- ☐ parties reached agreement on one or more issues or claims
 - ☐ case settled as to some parties, but not all parties
 - ☐ issues were narrowed
 - ☐ no issues or claims were narrowed or resolved

Part 2. Pro Se Party Involvement.

- ☐ Indicate here if no appellate settlement discussion took place because one or more parties are unrepresented by counsel and therefore the provisions of Appellate Rule 221 do not apply. If the box in this section **is** checked, the appellant should sign below:

Signature

Date

If the box in this section is **not** checked, the attorneys must complete Part 3.

Part 3. Certificate of Appellate Settlement Discussion.

By signing below, each attorney certifies that the attorneys have discussed settlement after the filing of the appeal as required by Appellate Rule 221, and that the attorney's client(s) had knowledge of the settlement discussion.

Date

Signature

Date

Signature

Date

Signature

Date

Signature

FILE THIS ORIGINAL PLUS ONE COPY WITH THE COURT.